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**PATENT**  
Attorney Docket No. 48470

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
LI ET AL.

Application No. 09/864,101

Filed: May 23, 2001

Group Art Unit: 2661

Examiner: OLMS, DOUGLAS W.

For: METHOD AND APPARATUS FOR  
COLLECTING STATISTICS FROM  
ELEMENTS AT MULTIPLE COLLECTION  
RATES

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**APR 26 2002**  
**OFFICE OF PETITIONS**

**PETITION TO CORRECT FILING DATE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants request the filing date be corrected to be -- 05/23/2001 -- in the above referenced application. Attached is a copy of the official filing receipt which improperly reads "05/24/2001". This patent application was filed in compliance of the Express Mail filing provisions of 37 CFR § 1.10 on May 23, 2001, and applicants hereby request the patent application be accorded this filing date, and a corrected filing receipt be sent to applicants' attorney of record.

In re LI ET AL.  
Application No. 09/864,101

In support of applicants' request, the following documents are submitted herewith:

1) A true copy of the cover sheet of this patent application with an EXPRESS MAIL CERTIFICATE OF MAILING dated May 23, 2001, and signed by applicants' attorney by Kirk D. Williams, certifying that the application was deposited with the U.S. Postal Service's "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on May 23, 2001, in an envelope bearing Express Mail Label. No. EL759042795US, addressed to BOX PATENT APPLICATION, ASST COMMISSIONER FOR PATENTS, WASHINGTON DC 20231.

2) A true copy of application's UTILITY PATENT APPLICATION AND FEE TRANSMITTAL dated May 23, 2001, with an EXPRESS MAIL CERTIFICATE OF MAILING dated May 23, 2001, and signed by applicants' attorney by Kirk D. Williams, certifying that the application was deposited with the U.S. Postal Service's "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on May 23, 2001, in an envelope bearing Express Mail Label. No. EL759042795US, addressed to BOX PATENT APPLICATION, ASST COMMISSIONER FOR PATENTS, WASHINGTON DC 20231.

3) A true copy of Express Mail Receipt No. EL759042795US, showing that the application package was received by U.S. Postal Station 80210 at 1:16 p.m. on May 23, 2001.

In re LI ET AL.  
Application No. 09/864,101

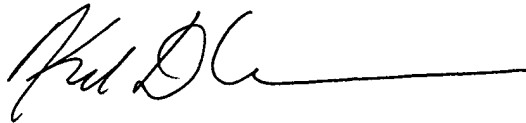
Applicants believe that no fee is due as this petition is being filed to correct a USPTO error. However, the Commissioner is authorized any fee due in connection with this communication to Deposit Account No. 501430.

Moreover, the Commissioner is hereby generally authorized under 37 C.F.R. § 1.136(a)(3) to treat this communication or any future communication in this or any related application filed pursuant to 37 C.F.R. § 1.53 requiring an extension of time as incorporating a request therefore, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 501430 for any fee that may be due in connection with such a request for an extension of time. The Commissioner is hereby authorized to charge payment of any fee due any under 37 C.F.R. §§ 1.16 and § 1.17 associated with this communication or any future communication in this or any related application filed pursuant to 37 C.F.R. § 1.53 or credit any overpayment to Deposit Account No. 501430.

Respectfully submitted,  
**The Law Office of Kirk D. Williams**

Date: April 24, 2002

By



---

Kirk D. Williams, Reg. No. 42,229  
One of the Attorneys for Applicants  
CUSTOMER NUMBER 26327  
The Law Office of Kirk D. Williams  
1234 S. OGDEN ST., Denver, CO 80210  
303-282-0151 (telephone), 303-778-0748 (facsimile)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/864,101	05/24/2001	2661	1290	48470	7	30	8

26327  
THE LAW OFFICE OF KIRK D. WILLIAMS  
1234 S. OGDEN ST.  
DENVER, CO 80210

*MAY 23, 2001*

CONFIRMATION NO. 2452

## FILING RECEIPT



\*OC000000006331519\*

Date Mailed: 07/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Weidong Li, Saratoga, CA;  
Sanjeev S. Ukhalkar, Fremont, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/23/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Method and apparatus for collecting statistics from elements at multiple collection rates

Preliminary Class

370

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Data entry by : ALHAIDARI, KHAIRIA

Team : OIPE

Date: 07/23/2001

UNITED STATES PATENT APPLICATION  
FOR

METHOD AND APPARATUS FOR  
COLLECTING STATISTICS FROM ELEMENTS AT MULTIPLE COLLECTION RATES

INVENTORS:

WEIDONG LI  
12260 COUNTRY SQUIRE LN, SARATOGA, CA 95070, A CITIZEN OF CANADA

SANJEEV S. UKHALKAR  
45485 RUTHERFORD TERRACE, FREMONT, CA 94539, A CITIZEN OF INDIA

PREPARED BY:  
THE LAW OFFICE OF KIRK D. WILLIAMS  
1234 S. OGDEN ST.  
DENVER, CO 80210  
303-282-0151

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EXPRESS MAIL CERTIFICATE OF MAILING

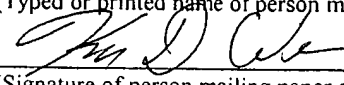
"Express Mail" mailing label number: EL759042795US

Date of Deposit: May 23, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX PATENT APPLICATION, ASST COMMISSIONER FOR PATENTS, WASHINGTON DC 20231.

Kirk D. Williams

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

5/23/01  
(Date signed)

# UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

ADDRESS TO:

**Box PATENT APPLICATION**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

Attorney Docket No. **48470**

First Named Inventor **Weidong Li**

Title: **Method And Apparatus For Collecting  
Statistics From Elements at Multiple  
Collection Rates**

Express Mail No. **EL759042795US**

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Info. included herein
2. ☐ Applicant is entitled to and hereby claims Small Entity Status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16 + Cover Sheet]
4. ☒ Formal Drawings [Total Sheets 7]
5. ☒ Combined Declaration and Power of Attorney [Total Pages 2]
  - a. ☒ Newly executed
  - b. ☐ Copy from prior application  
[Note Box 6 below]
    - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM in duplicate (Program or Table)

9. ☒ **NONPUBLICATION REQUEST:**
  - a. **THIS APPLICATION IS NOT TO BE PUBLISHED UNDER 35 U.S.C. 122(b)**
  - b. **CERTIFICATION:** The invention disclosed in the application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers
11. ☐ 37 CFR 3.73(b) Statement
12. ☐ English Translation Document
13. ☐ Information Disclosure Statement (IDS)
  - ☐ PTO-1449 Form
  - ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Certified Copy of Priority Document(s)
17. ☐ Other:

17. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application Serial No. \_\_\_\_\_  
Prior application: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

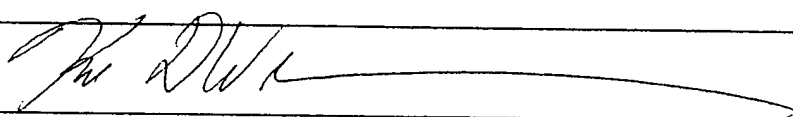
## APPLICATION FEES

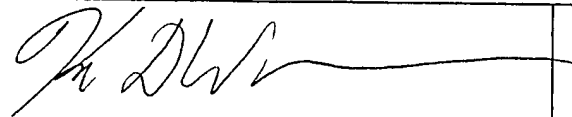
<b>BASIC FEE</b>				Large Entity	\$710
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims	30 - 20 =	10	x \$18		\$180
Independent Claims	8 - 3 =	5	x \$80		\$400
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable				+ \$270	\$
				Total of above calculations =	\$1290
<input checked="" type="checkbox"/> Assignment fee if applicable				+ \$40.00	\$40
				<b>TOTAL =</b>	<b>\$1330</b>

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**UTILITY PATENT APPLICATION AND FEE TRANSMITTAL**

Attorney Docket No. 48470

18. <input type="checkbox"/> Please charge my Deposit Account No. 501430 in the amount of \$	
19a.	<input checked="" type="checkbox"/> Check No. 2089 in the amount of \$1290 is enclosed.
19b.	<input checked="" type="checkbox"/> Check No. 2090 in the amount of \$40 is enclosed.
20. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 501430:	
a.	<input checked="" type="checkbox"/> Fees required under 37 CFR 1.16.
b.	<input checked="" type="checkbox"/> Fees required under 37 CFR 1.17.
c.	<input type="checkbox"/> Fees required under 37 CFR 1.18.
21. <input checked="" type="checkbox"/> The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 501430 for any fee that may be due in connection with such a request for an extension of time.	
<b>22. CORRESPONDENCE ADDRESS</b>	
<b>CUSTOMER NUMBER: 26327</b> Kirk D. Williams, Esq. The Law Office of Kirk D. Williams 1234 S. OGDEN ST. DENVER, CO 80210 Telephone: 303-282-0151 Facsimile: 303-265-9946	
Name	Kirk D. Williams
Signature	
Date	May 23, 2001

Certificate of Mailing Under 37 C.F.R. 1.10		
I hereby certify that this Utility Patent Application and Fee Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 C.F.R. 1.10 on the date indicated below with "Express Mail" mailing label number EL759042795US addressed to: Box PATENT APPLICATION, ASST COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.		
Kirk D. Williams, Esq. Reg. No. 42,229		May 23, 2001
Name of Person Signing	Signature	Date



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